



ANTHOS

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## Collaborative Legal Services

Our lawyers can provide **onsite or online** legal, governance, risk and compliance services to **support your legal, risk and compliance teams.**

We are happy to work collaboratively with you on any project or overflow work to assist your team.

We are experts at designing and embedding **risk and compliance frameworks.**

We have successfully designed and implemented risk and compliance frameworks for financial services entities from scratch.

## Commercial Risk and Compliance

## Financial Services Consultants

With our expertise in financial services, we are able to step in and offer external **general counsel** or **compliance officer services** for any legal or regulatory matters that you may need short term help and guidance on.

## ASIC, APRA, AUSTRAC & ASX Compliance

We can prepare or assist with various licences, such as **AFS & RSE licences**. We have decades of experience dealing with ASIC, APRA, AUSTRAC and the ASX.

We can help you **review and implement** policies and procedures required to meet ASIC, APRA, AUSTRAC and ASX obligations.

We have decades of experience managing and implementing **regulatory changes** in financial services for superannuation, funds management and financial advice.

We have deep expertise in managing organisation wide regulatory change projects from start to end.

## Regulatory Change Management

## AML/CTF & Financial Crime Compliance

We offer assistance with **AML/CTF program reviews** and enhancements. We can provide independent review of your AML/CTF program that is time efficient and cost effective.

We are passionate about this area of law and are always happy to assist you at a high level or for any specific queries that you may have.

# About Anthos Advisory

Anthos Advisory is a boutique law firm that offers onsite or online legal, governance, risk and compliance services to our clients.

We offer highly competitive commercial rates for expert advice backed by lawyers with decades of experience in corporate, commercial and financial services law.



Our lawyers are especially well versed to assist you in the following areas:

- Financial Services Laws
- ASIC, APRA, AUSTRAC and ASX Requirements
- Financial Crimes (AML/CTF, Financial Sanctions)
- Anti-Bribery and Corruption
- Corporate Governance
- Regulatory Compliance Monitoring and Risk Assessment
- Legal, Risk and Compliance Project Management
- Staff Training, Development and Implementation of ABAC, AML/CTF, AFSL, Anti-Cartel and Economic (Financial) Sanctions.